

# Heat transfer

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## Key Concepts

- The transfer of heat can occur in three ways: conduction, convection, and radiation.
- Heat can be transferred between states of matter only if a temperature difference exists and then only in the direction of decreasing temperature.
- Although the mechanisms and laws governing the three methods of heat transfer are quite different, all three methods can concurrently operate in a single process.
- An important industrial device for enabling heat transfer between fluid streams is the heat exchanger.

**Heat, a form of kinetic energy, is transferred in three ways: conduction, convection, and radiation.**

Heat can be transferred between states of matter only if a temperature difference exists and then only in the direction of decreasing temperature. Beyond this, the mechanisms and laws governing the three kinds of heat transfer are quite different, although they often co-occur in commonplace processes (Fig. 1). This article gives introductory information on heat transfer (also called thermal transfer) and on important industrial devices called heat exchangers. *See also:* HEAT.

## Conduction

Heat conduction involves the transfer of heat from one molecule to an adjacent one as an inelastic collision in the case of fluids, as oscillations in solid nonconductors of electricity, and as motions of electrons in conducting solids such as metals. Heat flows by conduction from the soldering iron to the work, through the brick wall of a furnace, through the wall of a house, or through the wall of a cooking utensil. Conduction is the only mechanism for the transfer of heat through an opaque solid. Some heat may be transferred through transparent solids, such as glass, quartz, and certain plastics, by radiation. In fluids, the conduction is supplemented by convection, and if the fluid is transparent, by radiation. *See also:* COLLISION (PHYSICS); CONDUCTION (ELECTRICITY); ELECTRICITY; ELECTRON; GLASS; METAL; QUARTZ.

The conductivities of materials vary widely, being greatest for metals, less for nonmetals, still less for liquids, and least for gases. Any material which has a low conductivity may be considered to be an insulator. Solids which have a large conductivity may be used as insulators if they are distributed in the form of granules or powder, as



Fig. 1. Water boiling on a stove offers an example of all three kinds of heat transfer co-occurring. Heat conducts through the stove's metal into the bottom of the pot of water. Heat also radiates onto the pot's bottom from the gas burner. Convection in the water then moves heat from the bottom of the pot throughout its liquid contents. (Credit: Ryerson Clark/Getty Images)

fibers, or as a foam. This increases the length of path for heat flow and at the same time reduces the effective cross-sectional area, both of which decrease the heat flow. Mineral wool, glass fiber, diatomaceous earth, glass foam, Styrofoam, corkboard, Celotex, and magnesia are all examples of such materials. *See also:* HEAT CONDUCTION; HEAT INSULATION.

## **Convection**

Heat convection involves the transfer of heat by the mixing of molecules of a fluid with the body of the fluid after they have either gained or lost heat by intimate contact with a hot or cold surface. The transfer of heat at the hot or cold surface is by conduction. For this reason, heat transfer by convection cannot occur without conduction. The motion of the fluid to bring about mixing may be entirely due to differences in density resulting from temperature differences, as in natural convection, or it may be brought about by mechanical means, as in forced convection. *See also:* DENSITY.

Most of the heat supplied to a room from a steam or hot-water radiator is transferred by convection. In fact, the heat from the fire in the furnace heating the hot water or steam is transferred to the boiler wall by convection, and the hot water or steam transfers heat from the boiler to the radiator by convection. Iced tea is cooled and soup heated by convection. *See also:* HEAT CONVECTION.

## **Radiation**

Solid material, regardless of temperature, emits radiation in all directions. This radiation may be, to varying degrees, absorbed, reflected, or transmitted. The net energy that is transferred by radiation is equal to the difference between the radiation emitted and the radiation absorbed.

The radiations from solids form a continuous spectrum of considerable width, increasing in intensity from a minimum at a short wavelength through a maximum and then decreasing to a minimum at a long wavelength. As the temperature of the object is increased, the entire emitted spectrum decreases in wavelength. As the temperature of an iron bar, for example, is raised to about 1000°F (800 K), the radiations become visible as a dark red glow. As the temperature is increased further, the intensity of the radiation increases and the color becomes bluer. This process is quite apparent in the filament of an incandescent light bulb. When the bulb is operated at less than normal voltage, the light appears quite red. As the voltage is increased, the filament temperature increases and the light appears progressively bluer. *See also:* BLACKBODY; LIGHT; PHOTON; VOLT-AMPERE.

Liquids and gases only partially absorb or emit radiation, and do so in a selective fashion. Many liquids, especially organic liquids, have selective absorption bands in the infrared and ultraviolet regions. *See also:* ABSORPTION OF ELECTROMAGNETIC RADIATION.

Transfer of energy by radiation is unique in that no conducting substance is necessary, as with conduction and convection. It is this unique property that makes possible the transfer of large amounts of energy from the Sun to the Earth, or the transfer of heat from a radiant heater in the home. It is the ready transfer of heat by radiation from a California orange grove to outer space on a clear night that sometimes results in a frost. The presence of a shield of clouds will tend to prevent this loss of heat and often prevent the frost. By means of heat lamps and gold-plated reflectors, heat may be transferred deep into the layer of enamel on a car body, with resultant hardening of the enamel from the inside out. It is also the transfer over great distances of quantities of radiant energy that makes nuclear weapons so destructive. *See also:* ATOMIC BOMB; EARTH; HEAT RADIATION; NUCLEAR EXPLOSION; SUN.

## **Design considerations**

By utilizing a knowledge of the principles governing the three methods of heat transfer and by a proper selection and fabrication of materials, the designer attempts to obtain the heat flow required for design purposes. This may involve the flow of large amounts of heat to some point in a process or the reduction in flow in others. It is possible to employ all three methods of heat transfer in one process. In fact, all three methods operate in processes that are commonplace. In summer, the roof on a house becomes quite hot because of radiation from the Sun, even though the wind is carrying some of the heat away by convection. Conduction carries the heat through the roof where it is distributed to the attic by convection. The prudent householder attempts to reduce the heat that enters the rooms beneath by reducing the heat that is absorbed in the roof by painting the roof

white. The householder may apply insulation to the underside of the roof to reduce the flow of heat through the roof. Further, heated air in the attic may be vented through louvers in the roof.

Heat transferred by convection may be transferred as heat of the convecting fluid or, if a phase change is involved, as latent heat of vaporization, solidification, sublimation, or crystallization. The human body can be cooled to less than ambient temperature by evaporation of sweat from the skin. Dry ice absorbs heat by sublimating the carbon dioxide. Heat extracted from the products of combustion in the boiler flows through the gas film and the metal tube wall and converts the water inside the tube to steam, all without greatly changing the temperature of the water. *See also:* DRY ICE; PHASE TRANSITIONS; SKIN; SWEAT GLAND; TEMPERATURE; WATER.

## ***Heat exchangers***

In industry it is generally desired to extract heat from one fluid stream and add it to another. Devices used for this purpose have passages for each of the two streams separated by a heat-exchange surface in the form of plates or tubes and are known as heat exchangers. The automobile radiator, the hot-water heater, the steam or hot-water radiator in a house, the steam boiler, the condenser and evaporator on either the household refrigerator or air conditioner, and even the ordinary cooking utensils in everyday use are all heat exchangers. *See also:* HEAT EXCHANGER.

In power plants, oil refineries, and chemical plants, two commonly used heat exchangers are the tube-and-shell and the double-pipe exchangers. The first consists of a bundle of tubes inside a cylindrical shell. One fluid flows inside the tubes and the other between the tubes and the shell. The double-pipe type consists of one tube inside another, one fluid flowing inside the inner tube and the other flowing in the annular space between tubes. In both cases, the tube walls serve as the heat-exchange surface. Heat exchangers consisting of spaced flat plates with the hot and cold fluids flowing between alternate plates are also in use. Each of these exchangers essentially depends upon convection heat flow through a film on each side of the heat-exchange surface and conduction through the surface. Countless special modifications, often also utilizing radiation for heat transfer, are employed for a variety of purposes.

In these exchangers, the fluid streams may flow parallel concurrently or in mixed flow. In most cases, the temperatures of the various streams remain essentially constant at a given point, and the process is said to be a steady-state process. As the streams move through the exchangers, unless there is a phase change, the fluids are continuously changing in temperature, and the temperature gradient from one stream to the other may be continuously varying. To determine the amount of surface needed for a given process, the designer must evaluate the effective temperature gradient for the particular condition and exchanger.

Often the heating or cooling of a body is desired. In this case, the body representing the second stream does not remain at constant temperature, the heat being transferred representing a change in the heat content of the body. Such a process is known as an unsteady-state process. The heating or cooling of food and canned products in

utensils, refrigerators, and sterilizers; the heating of steel billets in metallurgical furnaces; the burning of brick in a kiln; and the calcination of gypsum are examples of this type of process.

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## Keywords

Heat transfer; heat exchanger; heat convection; heat conduction; heat radiation; movement of heat; thermal transfer; thermodynamics; temperature

## Test Your Understanding

1. What conditions are required for heat transfer between states of matter to occur? In what direction will heat transfer occur?
2. What are the three kinds of heat transfer? How do they differ?
3. Critical Thinking: Why do gases have a lower thermal conductivity than solids?
4. Critical Thinking: In tube-and-shell heat exchangers from a heat exchanging perspective, why are bundles of multiple small tubes used on the interior to transfer heat to the external fluid rather than one large tube to carry the same volume of fluid?
5. Critical Thinking: A baker is cooking two loaves of bread in an oven. One loaf is in a glass pan. The other loaf is in a metal pan that is otherwise identical to the glass pan. Which loaf of bread will be done cooking first? Why? Support your answer using principles of conduction, convection, and/or radiation.

## Additional Readings

F. Kreith and R. M. Manglik, *Principles of Heat Transfer*, 8th ed., Cengage Learning, 2018

A. F. Mills and C. F. M. Coimbra, *Heat Transfer*, 3rd ed., Temporal Publishing, 2016